

05/10/01  
JC923 U.S. PTO

05/10/01  
JC971 U.S. PTO  
09/05/1576

Please type a plus sign (+) inside this box ☐ Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

|  |                        |  |
|--|------------------------|--|
| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br><br>(Only for new nonprovisional applications under 37 CFR 1.53(b)) | Attorney Docket No.    | ADTP0033 USA                                   |
|  | First Inventor         | Yao-Ching Su                                   |
|  | Title                  | Electrode structure of a plasma display panel. |
|  | Express Mail Label No. |  |

|   |   |  |
|---|---|--|
| <b>APPLICATION ELEMENTS</b><br>See MPEP chapter 600 concerning utility patent application contents.   | <b>ADDRESS TO:</b> Assistant Commissioner for Patents<br>Box Patent Application<br>Washington, DC 20231   |  |
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)<br>(Submit an original and a duplicate for fee processing)  | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)   |  |
| 2. <input type="checkbox"/> Applicant claims small entity status.<br>See 37 CFR 1.27.   | 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)<br>a. <input type="checkbox"/> Computer Readable Form (CRF)<br>b. Specification Sequence Listing on:<br>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or<br>ii. <input type="checkbox"/> paper<br>c. <input type="checkbox"/> Statements verifying identity of above copies   |  |
| 3. <input checked="" type="checkbox"/> Specification [Total Pages 19]<br>(preferred arrangement set forth below)<br>- Descriptive title of the invention<br>- Cross Reference to Related Applications<br>- Statement Regarding Fed sponsored R & D<br>- Reference to sequence listing, a table, or a computer program listing appendix<br>- Background of the invention<br>- Brief Summary of the Invention<br>- Brief Description of the Drawings (if filed)<br>- Detailed Description<br>- Claim(s)<br>- Abstract of the Disclosure | <b>ACCOMPANYING APPLICATION PARTS</b><br>9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))<br>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) <input checked="" type="checkbox"/> Power of Attorney<br>11. <input type="checkbox"/> English Translation Document (if applicable)<br>12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations<br>13. <input type="checkbox"/> Preliminary Amendment<br>14. <input type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)<br>15. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)<br>16. <input type="checkbox"/> Other: |  |
| 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 11]   |   |  |
| 5. Oath or Declaration [Total Pages 3]<br>a. <input checked="" type="checkbox"/> Newly executed (original or copy)<br>Copy from a prior application (37 CFR 1.63 (d))<br>(for continuation/divisional with Box 17 completed)<br>b. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).   |   |  |
| 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76   |   |  |

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18. CORRESPONDENCE ADDRESS**

☐ Customer Number or Bar Code Label ☒ Correspondence address below

(Insert Customer No. or Attach bar code label here)

|         |  |           |                 |
|---------|--|-----------|-----------------|
| Name    | WINSTON HSU  |           |                 |
| Address | 5 F, No. 389, Fu-Ho Road, Yung-Ho City, Taipei Hsien, Taiwan, R.O.C. |           |                 |
| City    | State  | Zip Code  | 234             |
| Country | Taiwan, R.O.C.   | Telephone | 886-2-8923-7350 |
|         |  | Fax       | 886-2-8923-7390 |

|                   |             |                                   |          |
|-------------------|-------------|-----------------------------------|----------|
| Name (Print/Type) | WINSTON HSU | Registration No. (Attorney/Agent) | 41.526   |
| Signature         | Winston Hsu | Date                              | 5/8/2001 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$)

1750

## Complete if Known

Application Number

Filing Date

First Named Inventor

Yao-Ching Su

Examiner Name

Group Art Unit

Attorney Docket No.

ADT 70033USA

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

50-0801

Deposit  
Account  
Name

North America International  
Patent Office

- ☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☒ Payment Enclosed:

☐ Check

☐ Credit card

☒ Money  
Order

☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Small Entity

| Fee<br>Code | Fee<br>(\$) | Fee<br>Code | Fee<br>(\$) | Fee Description        |
|-------------|-------------|-------------|-------------|------------------------|
| 101         | 710         | 201         | 355         | Utility filing fee     |
| 106         | 320         | 206         | 160         | Design filing fee      |
| 107         | 490         | 207         | 245         | Plant filing fee       |
| 108         | 710         | 208         | 355         | Reissue filing fee     |
| 114         | 150         | 214         | 75          | Provisional filing fee |

Fee Paid

710

SUBTOTAL (1) (\$)

710

### 2. EXTRA CLAIM FEES

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------|--------------|----------------|----------|
| 20           | -20** = 0    | 0              | 0        |
| 3            | -3** = 0     | 0              | 0        |

Large Entity Small Entity

| Fee<br>Code | Fee<br>(\$) | Fee<br>Code | Fee<br>(\$) | Fee Description  |
|-------------|-------------|-------------|-------------|--|
| 103         | 18          | 203         | 9           | Claims in excess of 20                                     |
| 102         | 80          | 202         | 40          | Independent claims in excess of 3                          |
| 104         | 270         | 204         | 135         | Multiple dependent claim, if not paid                      |
| 109         | 80          | 209         | 40          | ** Reissue independent claims over original patent         |
| 110         | 18          | 210         | 9           | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2)

(\$)

0

\*\*or number previously paid, if greater: For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Small Entity

| Fee<br>Code | Fee<br>(\$) | Fee<br>Code | Fee<br>(\$) | Fee Description  | Fee Paid |
|-------------|-------------|-------------|-------------|--|----------|
| 105         | 130         | 205         | 65          | Surcharge - late filing fee or oath  |          |
| 127         | 50          | 227         | 25          | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139         | 130         | 139         | 130         | Non-English specification  |          |
| 147         | 2,520       | 147         | 2,520       | For filing a request for <i>ex parte</i> reexamination                     |          |
| 112         | 920*        | 112         | 920*        | Requesting publication of SIR prior to Examiner action                     |          |
| 113         | 1,840*      | 113         | 1,840*      | Requesting publication of SIR after Examiner action                        |          |
| 115         | 110         | 215         | 55          | Extension for reply within first month                                     |          |
| 116         | 390         | 216         | 195         | Extension for reply within second month                                    |          |
| 117         | 890         | 217         | 445         | Extension for reply within third month                                     |          |
| 118         | 1,390       | 218         | 695         | Extension for reply within fourth month                                    |          |
| 128         | 1,890       | 228         | 945         | Extension for reply within fifth month                                     |          |
| 119         | 310         | 219         | 155         | Notice of Appeal   |          |
| 120         | 310         | 220         | 155         | Filing a brief in support of an appeal                                     |          |
| 121         | 270         | 221         | 135         | Request for oral hearing   |          |
| 138         | 1,510       | 138         | 1,510       | Petition to institute a public use proceeding                              |          |
| 140         | 110         | 240         | 55          | Petition to revive - unavoidable   |          |
| 141         | 1,240       | 241         | 620         | Petition to revive - unintentional   |          |
| 142         | 1,240       | 242         | 620         | Utility issue fee (or reissue)   |          |
| 143         | 440         | 243         | 220         | Design issue fee   |          |
| 144         | 600         | 244         | 300         | Plant issue fee  |          |
| 122         | 130         | 122         | 130         | Petitions to the Commissioner  |          |
| 123         | 50          | 123         | 50          | Petitions related to provisional applications                              |          |
| 126         | 240         | 126         | 240         | Submission of Information Disclosure Stmt                                  |          |
| 581         | 40          | 581         | 40          | Recording each patent assignment per property (times number of properties) | 40       |
| 146         | 710         | 246         | 355         | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149         | 710         | 249         | 355         | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179         | 710         | 279         | 355         | Request for Continued Examination (RCE)                                    |          |
| 169         | 900         | 169         | 900         | Request for expedited examination of a design application*                 |          |

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

40

## SUBMITTED BY

Name (Print/Type)

WINSTON HSU

Registration No.  
(Attorney/Agent)

41,526

Complete (if applicable)

Telephone

886-2-8923-7350

Signature

Winston Hsu

Date

5/8/2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.